

DocketNo. 14998-255 RM
 Serial no. 05/720,335 Date: JULY 28, 2005

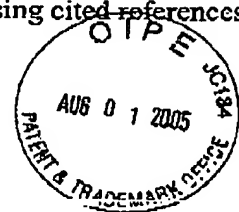
1. Information Disclosure Statement
2. Form PTOL-1449 (enclosing cited references)
3. Return post card
4. Certificate of Mailing

DocketNo. 14998-255 RM
 Serial no. 05/720,335 Date: JULY 28, 2005

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AUG 10 2005

1. Information Disclosure Statement
2. Form PTOL-1449 (enclosing cited references)
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AUG 12 2005

Docket No. 14998-255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nishimura et al.

Serial No.: 09/720,335

Group Art Unit: 2839

Filed: December 20, 2000

Examiner: Javaid H. Nasri

For: Optical Ferrule And Molding Method Therefor, And Optical Connector
Using This Optical Ferrule**CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Supplemental Information Disclosure Statement
2. Form PTOL-1449 (enclosing cited references)
3. Return post card

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing
are being deposited with the United States Postal Service on date shown below with sufficient
postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.

Respectfully submitted,
Chadbourne & Parke, L.L.P.Dated: July 28 2005By: Richard Martinelli
Registration No. 52,003Chadbourne & Parke, L.L.P.
30 Rockefeller Plaza
New York, NY 10112
212-408-5100 Telephone
212-541-5369 Facsimile

NYJ-390263.01

Attorney Docket No. 14998-255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nishimura et al.

Serial No.: 09/720,335

Group Art Unit: 2839

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Filed: December 20, 2000

Examiner: Javaid H. Nasri

AUG 12 2005

For: Optical Ferrule And Molding Method Therefor, And Optical Connector
Using This Optical Ferrule

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. _____, filed _____.
4. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or

NY3-390358.01

Attorney Docket No. 14998-255

- ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
- ☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
- ☐ 37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee.
- ☐ Charge the fee to Deposit Account No. 03-1240, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☒ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8. ☐ This Information Disclosure Statement is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
- b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).

Attorney Docket No. 14998-255

- c. ☐ The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.
9. x I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. X This document is accompanied by X a Search Report ☐ Communication which was cited in a corresponding ☐ PCT or X Foreign counterpart application
11. ☐ A check in the amount of \$ _____ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
- X Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No.03-1240, Order No. 14998-255. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 03-1240, Order No.. 14998-255.

Respectfully submitted,
CHADBOURNE & PARKE, L.L.P.

Dated: July 28, 2005

By: _____

Richard Martinelli
Registration No. 52,003

CHADBOURNE & PARKE, L.L.P.
30 Rockefeller Plaza
New York, NY 10112
212-408-5100 Telephone
212-541-5369 Facsimile

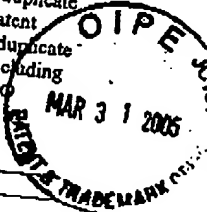
Patent
Serial No. 09/720,236 File No. 14998255 By T.D
In the Matter of Application of _____
The following due _____ in the U.S. Patent and Trademark Office, was received thereby

- | | |
|--|--|
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> List of References Cited |
| <input type="checkbox"/> Request for Extension of Time | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Application for Patent, including
_____ Pages Specification _____ Claims | <input type="checkbox"/> Status Request |
| <input type="checkbox"/> Declaration <input type="checkbox"/> Oath <input type="checkbox"/> Power | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Request for filing Continuation or Divisional
Application _____ sheets, in duplicate | <input type="checkbox"/> Small Entity Declaration |
| <input type="checkbox"/> File Wrapper Continuation Patent
Application _____ sheets, in duplicate | <input type="checkbox"/> Amendment in Response to _____ |
| <input type="checkbox"/> PCT Request _____ sheets, including | <input type="checkbox"/> Response to _____ |
| <input type="checkbox"/> Transmittal Letter to the US/RO | <input type="checkbox"/> Amendment After Final Action of |
| <input type="checkbox"/> Assignment | <input checked="" type="checkbox"/> Transmittal Sheet |
| <input type="checkbox"/> Recordation Cover Sheet | <input checked="" type="checkbox"/> Ser. / P. 10-1448 |
| <input type="checkbox"/> Check No. _____ | <input checked="" type="checkbox"/> EP/36096 re. Dran |
| <input type="checkbox"/> Check Nos. _____ | <input checked="" type="checkbox"/> Cent. 1st class Mail |
| <input type="checkbox"/> Drawing _____ Sheet(s) | <input checked="" type="checkbox"/> Comp. Postmark |
| <input checked="" type="checkbox"/> Information Disclosure Statement | |

March 29, 2005

Patent
Serial No. 09/720,236 File No. 14998255 By T.D
In the Matter of Application of _____
The following due _____ in the U.S. Patent and Trademark Office, was received thereby

- | | |
|--|--|
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> List of References Cited |
| <input type="checkbox"/> Request for Extension of Time | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Application for Patent, including
_____ Pages Specification _____ Claims | <input type="checkbox"/> Status Request |
| <input type="checkbox"/> Declaration <input type="checkbox"/> Oath <input type="checkbox"/> Power | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Request for filing Continuation or Divisional
Application _____ sheets, in duplicate | <input type="checkbox"/> Small Entity Declaration |
| <input type="checkbox"/> File Wrapper Continuation Patent
Application _____ sheets, in duplicate | <input type="checkbox"/> Amendment in Response to _____ |
| <input type="checkbox"/> PCT Request _____ sheets, including | <input type="checkbox"/> Response to _____ |
| <input type="checkbox"/> Transmittal Letter to the US/RO | <input type="checkbox"/> Amendment After Final Action of |
| <input type="checkbox"/> Assignment | <input checked="" type="checkbox"/> Transmittal Sheet |
| <input type="checkbox"/> Recordation Cover Sheet | <input checked="" type="checkbox"/> Ser. / P. 10-1448 |
| <input type="checkbox"/> Check No. _____ | <input checked="" type="checkbox"/> EP/36096 re. Dran |
| <input type="checkbox"/> Check Nos. _____ | <input checked="" type="checkbox"/> Cent. 1st class Mail |
| <input type="checkbox"/> Drawing _____ Sheet(s) | <input checked="" type="checkbox"/> Comp. Postmark |
| <input checked="" type="checkbox"/> Information Disclosure Statement | |



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APR 28 2005

March 29, 2005

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
14998-255

In Re Application Of: Akito Nishimura, Toru Arikawa, Yasuhiro Tamaki

Serial No.
09/720,235

Filing Date
December 20, 2000

Examiner
Javald H. Nasri

Group Art Unit
2839

Title: " OPTICAL FERRULE AND MOLDING METHOD THEREFOR, AND OPTICAL CONNECTOR
USING THIS OPTICAL FERRULE:

TO THE ASSISTANT COMMISSIONER FOR PATENTS:
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AUG 12 2005

Transmitted herewith is:

Transmittal Sheet/IDS Statement under CFR 1.97(e)
PTO-1449 form/ five[5] references/ EP Search Report
Certificate of First Class Mail/Confirmatory postcard

In the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-1240 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: March 2, 2005

Daniel Basov, Reg. No. 42,303
CHADBOURNE & PARKE LLP
30 Rockefeller Plaza
New York, New York 10112
1-212-408-2324

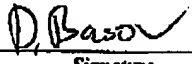
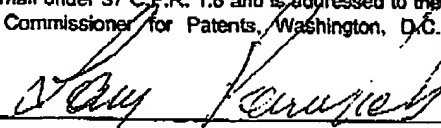
I certify that this document and fee is being deposited
March 2, 2005 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Tammy Parubchenko

Typed or Printed Name of Person Mailing Correspondence

cc:

TRANSMITTAL LETTER (General - Patent Pending)			Docket No. 14998-255
In Re Application Of: Akito Nishimura, Toru Arikawa, Yasuhiro Tamaki			
Serial No. 09/720,235	Filing Date December 20, 2000	Examiner Javaid H. Nasri	Group Art Unit 2839
Title: " OPTICAL FERRULE AND MOLDING METHOD THEREFOR, AND OPTICAL CONNECTOR USING THIS OPTICAL FERRULE:			
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>			
<p>Transmitted herewith is:</p> <p>Transmittal Sheet/IDS Statement under CFR 1.97(e) PTO-1449 form/ five[5] references/ EP Search Report Certificate of First Class Mail/Confirmatory postcard</p> <p>in the above identified application.</p> <p><input checked="" type="checkbox"/> No additional fee is required.</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-1240 as described below. A duplicate copy of this sheet is enclosed.</p> <p style="margin-left: 20px;"><input type="checkbox"/> Charge the amount of _____</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Credit any overpayment.</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Charge any additional fee required.</p>			
 _____ <i>Signature</i>		Dated: March 23, 2005	
Daniel Basov, Reg. No. 42,303 CHADBOURNE & PARKE LLP 30 Rockefeller Plaza New York, New York 10112 1-212-408-2324		<div style="border: 1px solid black; padding: 5px;"> <p>I certify that this document and fee is being deposited on March 23, 2005 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p style="text-align: center;"> <i>Signature of Person Mailing Correspondence</i></p> <p style="text-align: center;">Tammy Parubchenko <i>Typed or Printed Name of Person Mailing Correspondence</i></p> </div>	
cc:			

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
14998-255

In Re Application Of: Nishimura, et. al.

Serial No.
09/720,335

Filing Date
12/20/2000

Examiner
Javaid H. Nasri

Group Art Unit
2839

Invention: "OPTICAL FERRULE AND MOLDING METHOD THEREFOR, AND OPTICAL
CONNECTOR USING THIS OPTICAL FERRULE"

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AUG 12 2005

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

D. Basov
Signature

Dated: March 22, 2005

Daniel Basov, Reg. No. 42,303
CHADBOURNE & PARKE LLP
30 Rockefeller Plaza
New York, New York 10112
1-212-408-5275

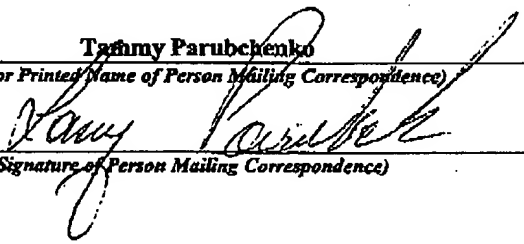
I certify that this document is being deposited on
March 22, 2005 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Tammy Parubchenko
Signature of Person Mailing Correspondence

Tammy Parubchenko

Typed or Printed Name of Person Mailing Correspondence

CC:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)			Docket No. 14998-255
Applicant(s): Akito Nishimura, Toru Arikawa, Yasuhiro Tamaki			
Serial No. 09/720,235	Filing Date December 20, 2000	Examiner Javaid H. Nasri	Group Art Unit 2839
Invention: "OPTICAL FERRULE AND MOLDING METHOD THEREFOR, AND OPTICAL CONNECTOR USING THIS OPTICAL FERRULE"			
<p style="text-align: center;">Transmittal Sheet/IDS Statement under CFR 1.97(e) PTO-1449 form/ five[5] references/ EP Search Report Certificate of First Class Mail/Confirmatory postcard</p> <p>I hereby certify that this _____ (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>March 29, 2005</u> (Date)</p> <p style="text-align: center;">Tatmy Parubchenko (Typed or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: center;"> (Signature of Person Mailing Correspondence)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p> <div style="border: 1px solid black; height: 200px; width: 100%;"></div>			

